



## Individual Savings Account Application Form

St. James's Place Unit Trust Group Limited, PO Box 9034 Chelmsford, Essex, CM99 2XA

PLEASE COMPLETE IN BLACK INK WITH BLOCK CAPITALS

### 1. Personal Details

Miss/Mrs/Ms/Mr (*delete as applicable*) Other

Sex Male  Female

Surname

Do you have a National Insurance Number? Yes  No

First Name(s) in full  
(insert first forename and middle initials if insufficient space for all forenames)

If YES, give number

If NO please give reason

Permanent Residential Address

Postcode

Date of Birth

Telephone Number

Do you have an existing St. James's Place ISA? Yes  No

Length of time at this address  years  months

If YES, please give the Account Reference Number

If less than 3 years at the above address, please provide details of your previous address

Is this ISA intended to wholly or partially repay a mortgage? Yes  No

As a consequence of this application, will you be cancelling, lapsing, surrendering or part surrendering any existing investment contract (life, endowment, pensions, ISA or Unit Trust)? Yes  No

Postcode

*If YES, a replacement questionnaire should be completed.*

### 2. Investment Choices (Please state your investment choices overleaf)

Choose whether you wish to invest a capital sum or by monthly savings and indicate your choice of unit trusts and amount to be invested in each trust under the appropriate columns. **Cheques must be made payable to SJPUTG Ltd.** If you opt for monthly payments to the ISA Regular Savings Plan, your direct debit payments will continue to be collected in each new tax year, although you may provide written instructions to cancel the Plan at any time.

#### CAPITAL SUM –

- Minimum investment £1,500 per plan
- Minimum additional investment £500 per increase
- Maximum total investment £7,200 per tax year

#### REGULAR SAVINGS –

- Minimum monthly investment £100 per trust
- Minimum annual investment £1,500 per trust
- Minimum increment for regular annual investment £500 per trust
- Income, Growth & Income and Global Growth Portfolios are only available via single contributions.

2. Investment Choices continued...

Please complete either Section 3(A) or 3(B)

PLEASE TICK

Please indicate which type of units you wish by ticking A, for Accumulation or I, for Income.

A  I

3.(A) PORTFOLIO OPTIONS

Please select the appropriate box for the Portfolio you wish to invest.

INCOME PORTFOLIO

If you wish to invest in the Income Portfolio (which has a predetermined spread of units: 40% UK High Income Trust, 30% Corporate Bond Trust, 15% Equity Income Trust and 15% Property Trust) complete this section and do not complete section 3(B).

GROWTH & INCOME PORTFOLIO

If you wish to invest in the Growth & Income Portfolio (which has a predetermined spread of units: 15% International Trust, 15% UK High Income Trust, 15% Corporate Bond Trust, 15% Equity Income Trust, 14% Worldwide Opportunities Trust, 14% Global Trust and 12% Property Trust) complete this section and do not complete section 3(B).

GLOBAL GROWTH PORTFOLIO

If you wish to invest in the Global Growth Portfolio (which has a predetermined spread of units: 30% International Trust, 30% Global Trust, 30% Worldwide Opportunities Trust and 10% UK Growth Trust) complete this section and do not complete section 3(B).

CAPITAL SUM (TOTAL) £

3.(B) INCOME AND GROWTH TRUSTS

Complete this section if you have not chosen any of the options described in Section 3(A). Please indicate which trust(s) you select by entering the amounts to be invested in the appropriate box and indicate which type of units you require by ticking A, for Accumulation or I, for Income.

SINGLE PREMIUM CONTRIBUTION

INCOME TRUSTS

CAPITAL SUM

PLEASE TICK

|                      |   |                      |   |                          |   |                          |
|----------------------|---|----------------------|---|--------------------------|---|--------------------------|
| Cautious Trust       | £ | <input type="text"/> | A | <input type="checkbox"/> | I | <input type="checkbox"/> |
| Corporate Bond Trust | £ | <input type="text"/> | A | <input type="checkbox"/> | I | <input type="checkbox"/> |
| Equity Income Trust  | £ | <input type="text"/> | A | <input type="checkbox"/> | I | <input type="checkbox"/> |
| Property Trust       | £ | <input type="text"/> | A | <input type="checkbox"/> | I | <input type="checkbox"/> |
| UK High Income Trust | £ | <input type="text"/> | A | <input type="checkbox"/> | I | <input type="checkbox"/> |

GROWTH TRUSTS

|                                    |   |                      |   |                          |   |                          |
|------------------------------------|---|----------------------|---|--------------------------|---|--------------------------|
| Continental European Trust         | £ | <input type="text"/> | A | <input type="checkbox"/> | I | <input type="checkbox"/> |
| Ethical Trust                      | £ | <input type="text"/> | A | <input type="checkbox"/> | I | <input type="checkbox"/> |
| Far East Trust                     | £ | <input type="text"/> | A | <input type="checkbox"/> | I | <input type="checkbox"/> |
| Global Trust                       | £ | <input type="text"/> | A | <input type="checkbox"/> | I | <input type="checkbox"/> |
| Greater European Progressive Trust | £ | <input type="text"/> | A | <input type="checkbox"/> | I | <input type="checkbox"/> |
| International Trust                | £ | <input type="text"/> | A | <input type="checkbox"/> | I | <input type="checkbox"/> |
| High Octane Trust                  | £ | <input type="text"/> | A | <input type="checkbox"/> |   |                          |
| North American Trust               | £ | <input type="text"/> | A | <input type="checkbox"/> | I | <input type="checkbox"/> |
| Tracker Trust                      | £ | <input type="text"/> | A | <input type="checkbox"/> | I | <input type="checkbox"/> |
| UK & General Progressive Trust     | £ | <input type="text"/> | A | <input type="checkbox"/> | I | <input type="checkbox"/> |
| UK Growth Trust                    | £ | <input type="text"/> | A | <input type="checkbox"/> | I | <input type="checkbox"/> |
| Worldwide Opportunities Trust      | £ | <input type="text"/> | A | <input type="checkbox"/> | I | <input type="checkbox"/> |

**Investment Total** £

## 2. Investment Choices continued...

### REGULAR PREMIUM CONTRIBUTIONS\*

Monthly  Annual

#### INCOME TRUSTS

Cautious Trust

REGULAR CONTRIBUTION\*  
£

Corporate Bond Trust

£

Equity Income Trust

£

Property Trust

£

UK High Income Trust

£

#### GROWTH TRUSTS

Continental European Trust

£

Ethical Trust

£

Far East Trust

£

Global Trust

£

Greater European Progressive Trust

£

High Octane Trust

£

International Trust

£

North American Trust

£

Tracker Trust

£

UK & General Progressive Trust

£

UK Growth Trust

£

Worldwide Opportunities Trust

£

\* Accumulation  
units only

#### Investment Total

£

**PLEASE NOTE** that the High Octane fund is intended for investors willing to accept a higher level of risk. The managers typically invest in a limited number of stocks and are unconstrained by any benchmarks. The fund will also be subject to currency exchange rate fluctuations. By investing in this fund, you are accepting higher volatility in the performance of your investment.

Please ensure that the collection date is not on 29th, 30th or 31st of the month and is more than 14 working days from the submission of this application. If the collection date is within 14 days of submission, the first collection will be made on the same requested date from the following month.

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## 3. Income Payment Details (BLOCK CAPITALS PLEASE)

If you choose Income Units, payment will be made by Direct Credit Transfer to your Bank/Building Society. Please therefore complete the Section below.

Full Name and Postal Address of your Bank/Building Society

Postcode

Account in the Name of

Sort Code

-

Account Number

*Please ensure you fill in the Direct Debit form overleaf.*



## 4. Declaration and Authority

I wish to purchase units in the St. James's Place Unit Trust(s) as detailed above for an Individual Savings Account. I understand that the price will be calculated at the next valuation point following receipt of my application in the Administration Centre. For the ISA Regular Savings Plan unit allocation does not take place until the due date under the direct debit mandate.

Subject to the terms of the ISA Agreement, I declare that:

- I am 18 years of age or over.
- I have not subscribed, and will not subscribe to another stocks and shares ISA, in the same year that I subscribe to this ISA.
- I confirm that I have been offered the Unit Trust Prospectus and latest Manager's Report and Accounts.
- I am resident and ordinarily resident in the United Kingdom for tax purposes or if not so resident, either perform duties which, by virtue of Section 132(4) (a) of the Income and Corporation Taxes Act 1988 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to, or in civil partnership with, a person who performs such duties. I will inform SJPUTG if I cease to be so resident and ordinarily resident or to perform such duties or be married to a person who performs such duties.
- **I have read the Fund Profiles document and agree to the terms and conditions in the ISA agreement in the Key Features brochure.**
- All subscriptions made, and to be made, to this ISA belong to me.
- I hereby authorise St. James's Place Unit Trust Group Limited to hold my cash contributions, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash.
- I hereby authorise St. James's Place Unit Trust Group Limited to make on my behalf any claims to relief from tax in respect of ISA investments.
- I hereby authorise St. James's Place Unit Trust Group Limited on my written request to transfer or pay to me, as the case may be, ISA investments, interest, dividends, rights or other proceeds in respect of such investments or any cash.
- I am aware that interest will not be paid on client money accounts.

The above information is true and correct to the best of my knowledge and belief.

### Purposes for which your data may be used

Your Partner will use your data to provide you with financial advice and information about products and services offered by St. James's Place and/or by your Partner, and any other providers of products or services with whom St. James's Place or your Partner has an introducer relationship. Your Partner may share your data, including "sensitive" data (such as information about your health) with St. James's Place and/or these other organisations. Your data may also be passed to another member of the St. James's Place Partnership in the interests of providing you with a comprehensive and continuous service. St. James's Place will use your data for administration, risk assessment, fraud prevention and regulatory purposes. St. James's Place may receive information about you from your employer or any trustee or administrator of a scheme which includes your policy (if applicable), your Partner, or providers of products or services with whom St. James's Place has an introducer relationship, and may also share your data with them. If necessary to administer policies purchased by you, St. James's Place may share

information about you with underwriters, medical practitioners and reinsurers. We will also share information with regulatory and fraud prevention agencies and with third parties who process information on our behalf. (This information may be processed outside the UK, with appropriate controls in place). If the information we obtain about you includes "sensitive" data, you consent to our processing this data by signing this Declaration.

In the event of your application not proceeding, information provided in connection with your application (which may include medical and other sensitive information) may be retained by us for use in connection with any policy application or claim made by you in the future.

### Confidentiality

St. James's Place and your Partner adhere to a confidentiality policy which requires us to treat all information about you in the strictest confidence.

### Marketing

Your Partner and St. James's Place may use your data for marketing purposes, to keep you informed about the products and services offered by your Partner and/or St. James's Place and other providers of products or services with whom we have an introducer relationship. You have a right to request us not to use your data for direct marketing purposes. If you do not wish to receive direct marketing from your Partner, please write to your Partner at their usual contact address. If you do not wish to receive direct marketing from St. James's Place, please write to us or tick the box below. Unless you tell us otherwise, we may contact you by mail and/or by telephone. We will only contact you by fax or e-mail if you have agreed that we may do so. St. James's Place does not pass personal data to mailing list suppliers.

I do not wish to be contacted with offers of St. James's Place or other products or services

### Your rights

You have the right to apply to your Partner and/or to St. James's Place for a copy of the data which your Partner, or St. James's Place, holds about you (for which a fee of up to £10 may be charged) and to have any inaccuracies corrected. Please notify your Partner, or St. James's Place, as appropriate if your personal details change or are incorrect.

### How to contact us

If you wish to contact your Partner about a Data Protection issue please write to him or her at their usual contact address. If you wish to contact St. James's Place please write to The Data Protection Officer, 1 Tetbury Road, Cirencester, Glos GL7 1FP.

\* St. James's Place is a Wealth Management Group which includes St. James's Place UK plc and its subsidiaries St. James's Place UK plc, St. James's Place International plc, St. James's Place Wealth Management plc, St. James's Place Management Services Limited and St. James's Place Unit Trust Group Limited.

For your protection, telephone calls to St. James's Place Unit Trust Group are recorded.

Please note that the Manager is required to establish the identity of all applicants and beneficiaries.

Further details are given in Key Features brochure.

Signature of Applicant

Date

We may only accept Powers of Attorney (Original or Certified copy) due to physical or mental incapacity. For physical incapacity it must be accompanied by a written declaration by the person signing the application. A draft declaration can be obtained from St. James's Place Unit Trust Group. In the case of mental incapacity the Power of Attorney must be registered and stamped by the Court of Protection.

Copies of the up to date Prospectus and the latest Manager's Report and Accounts for the range of St. James's Place Unit Trusts can be requested by you from a member of the St. James's Place Partnership or, at any time during normal business hours, from the Administration Centre at PO Box 9034 Chelmsford, Essex, CM99 2XA. Freephone 0800 0271031.

## 5. Partner Details

Name  Code  Office

Members of the St. James's Place Wealth Management Group are authorised and regulated by the Financial Services Authority. The St. James's Place Partnership and the title 'Partner' are the marketing terms used to describe St. James's Place representatives.

St. James's Place Unit Trust Group Limited: Registered Office St. James's Place House, Dollar Street, Cirencester, Gloucestershire, GL7 2AQ, United Kingdom  
Registered in England Number 947644

www.sjp.co.uk

SJP486-VR16 (03/08)

Please fill in the whole form and send it to:  
ST. JAMES'S PLACE UNIT TRUST GROUP  
PO Box 9034, Chelmsford, Essex, CM99 2XA

### INSTRUCTION TO YOUR BANK OR BUILDING SOCIETY TO PAY BY DIRECT DEBIT



1. Name(s) of Account Holder(s) (BLOCK CAPITALS PLEASE)

Originator's Identification Number

9  0  4  0  0  6

2. Bank/Building Society account number

Originator's Reference

Branch Sort Code

**SJPUTG USE ONLY**

### INSTRUCTION TO YOUR BANK OR BUILDING SOCIETY TO PAY DIRECT DEBITS

Please pay St. James's Place Direct Debits from the account detailed in this Instruction subject to safeguards assured by the Direct Debit Guarantee. I understand that this Instruction may remain with St. James's Place and, if so, details will be passed electronically to my Bank/Building Society.

3. Name and full postal address of your Bank or Building Society (BLOCK CAPITALS PLEASE)

To: The Manager  
Address:  
  
  
Postcode:

4. Signature(s)

Date

Banks and Building Societies may not accept Direct Debit Instructions for some types of account.

## The Direct Debit Guarantee

- **This Guarantee should be retained by the payer.**
- This Guarantee is offered by all Banks and Building Societies that take part in the Direct Debit scheme. The efficiency and security of the scheme is monitored and protected by your own Bank or Building Society.
- If the amounts to be paid or the payment dates change, St. James's Place will notify you 10 working days in advance of your account being debited or as otherwise agreed.
- If an error is made by St. James's Place or your Bank or Building Society, you are guaranteed a full and immediate refund from your branch of the amount paid.
- You can cancel a Direct Debit at any time by writing to your Bank or Building society. Please also send a copy of your letter to us.

